

STATE OF MAINE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
American Recovery and Reinvestment Act (ARRA)
Agreement to Purchase Services

THIS AGREEMENT, made this 1st day of July, 2009, is by and between the State of Maine, Department of Health and Human Services, hereinafter called "Department," and People's Regional Opportunity Program, mailing address 510 Cumberland Avenue, Portland, Maine 04101 physical address 510 Cumberland Avenue Portland, Maine hereinafter called "Provider", for the period of 07/1/2009 to 09/30/2010.

WITNESSETH, that for and in consideration of the payments and agreements hereinafter mentioned, to be made and performed by the Department, the Provider hereby agrees with the Department to furnish all qualified personnel, facilities, materials and services and in consultation with the Department, to perform the services, study or projects described in Rider A, and under the terms of this Agreement.

The following Riders and Attachments are hereby incorporated into this Agreement and made part of it by reference:

- Rider A - Specifications of Work to be Performed
- Rider B - Payment and Other Provisions
- Rider C - Rider B Exceptions
- Rider D - Additional Requirements
- Rider E - Program Requirements
- Rider F - Budget; F-1 Agreement Settlement Form; F-2 Agreement Compliance Form
- Rider G - Identification of Country In Which Contracted Work Will Be Performed
- Rider I - Assurance of Compliance

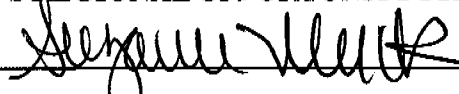
WITNESSETH, that this contract is consistent with Executive Order 17 FY 08/09 or a superseding Executive Order, and complies with its requirements.

IN WITNESS WHEREOF, the Department and the Provider, by their representatives duly authorized, have executed this agreement in one original copy.

DEPARTMENT OF HEALTH AND HUMAN SERVICES

By: 
Russell J. Begin, Deputy Commissioner for Finance
And

PEOPLE'S REGIONAL OPPORTUNITY PROGRAM

By: 
Suzanne McCormick, CEO and President

Total Agreement Amount: \$587,578

Approved:  **SEP 29 2009**
Chair, State Purchases Review Committee

ENCUMBERED

SEP 29 2009

STATE CONTROLLER



STATE OF MAINE
STANDARD AGREEMENT COVER PAGE
DEPARTMENT OF HEALTH AND HUMAN SERVICES

DHHS Agreement# CFS-10-7026RA
AdvantageME CT # 10A-20090422-%-5616

Community Agency Name: People's Regional Opportunity Program

Address: 510 Cumberland Avenue, Portland, Maine 04101

Program Name: _____

Service: Community Services Stimulus

Geographic Area Served: Cumberland County

DHHS District # 2

DHHS Region # 1

Vendor/Customer #: VC1000072302

Agency Fiscal Year: 10/1 to 9/30

FOR DEPARTMENT USE ONLY

Agreement Period

Type of Agreement

Effective Date: 7/1/2009

Termination Date: 9/30/2010

Amended Effective Date: _____

Amended Termination Date: _____

☐ Contract-State Services

☒ Grant- Client Services

☒ New

☐ Renewal

☐ Amendment

☐ Budget Revision

| CFDA # | ACCOUNT # | FY 2010 Encumbrance | FY 2011 Encumbrance | Agreement Total |
|-----------|-----------------------------|------------------------|------------------------|--------------------|
| 1. 93.710 | 021-10A-8065-01-6401-CSBGRA | \$470,062 | \$117,516 | \$587,578 |
| 2. | | | | |
| 3. | | | | |
| 4. | | | | |
| 5. | | | | |
| 6. | | | | |
| 7. | | | | |
| 8. | | | | |
| 9. | | | | |
| 10. | | | | |
| TOTALS | | \$470,062 | \$117,516 | \$587,578 |

Agreement Routing:

Agreement Administrator
Purchased Services Manager

Tom Collins
Melody Foster

AMERICAN RECOVERY AND REINVESTMENT ACT FUNDING MATRIX

A. STATE GENERAL FUNDS \$ _____
B. FEDERAL FUNDS \$ _____
C. FEDERAL ARRA STIMULUS FUNDS \$587,578
E. OTHER FUNDS \$ _____

AGREEMENT TOTAL \$587,578

**STATE OF MAINE
DEPARTMENT OF HEALTH & HUMAN SERVICES**

PROVIDER SUMMARY PAGE

Community Agency/Program Name: People's Regional Opportunity Program

TTY: _____

Executive Director: Suzanne McCormick

Telephone #: 207-553-5801 Fax #: 207-553-5888

Address: 510 Cumberland Ave,
Portland ME 04101

E-mail address: smccormick@propeople.org

Agreement Contact Person: Kaki Dimock

Telephone #: 207-553-5866 Fax #: 207-874-1155

Address: 510 Cumberland Ave,
Portland ME 04101

E-mail address: kdimock@propeople.org

Fiscal Contact Person: Paul L. Morgan, CMA

Telephone #: 207-553-5802 Fax #: 207-553-5888

Address: 510 Cumberland Ave,
Portland ME 04101

E-mail address: pmorgan@propeople.org

Clinical Director: _____

Telephone #: _____ Fax #: _____

Address: _____

E-mail address: _____

IT Services Contact: Paul L. Morgan, CMA

Telephone #: 207-553-5802 Fax #: 207-553-5888

Address: 510 Cumberland Ave,
Portland ME 04101

E-mail address: pmorgan@propeople.org

Other Contact Information:

List all locations where client services are provided and include the contact person, telephone number, and hours of service.

| Service | Service Site | Contact Person | Telephone # | Hours of Service | License Type and Capacity |
|--|---|----------------|--------------|------------------|------------------------------|
| Agency Administration | 2338 Congress Street, Portland, ME 04101 | Debra Lombardi | 207-553-5848 | 8:00-5:00 | |
| Direct Service Site: PROP covers Cumberland County for the following | 510 Cumberland Avenue, Portland, ME 04101 | Kaki Dimock | 207-553-5866 | 8:00-6:00 | Child Care and Food Licenses |

| | | | | | |
|--|--|--|--|--|--|
| <p>programs: WIC, Community Services, Headstart and Childcare, Parkside, LIHEAP, Communities Promoting Health, and Rental Housing. In addition to Cumberland, York County is also served by Housing and Energy Services and Senior Volunteers. Additionally, the Women's Project and Maine Youth Action Network are statewide.</p> | | | | | |
|--|--|--|--|--|--|

RIDER A
SPECIFICATIONS OF WORK TO BE PERFORMED

I. AGREEMENT FUNDING SUMMARY

Funds are provided under this Agreement for the provision of community services stimulus initiatives. The levels of funding and service descriptions are detailed in Section III Service Specifications and Performance Guidelines.

II. GENERAL REQUIREMENTS

- A. **Reporting.** The Provider understands that all reports are to be submitted electronically and are due within the timeframes established and that the Department will not make subsequent payment installments under this Agreement until such reports are received, reviewed and accepted. The Provider must send a written request to the Agreement Administrator for approval to submit reports after the agreement due date. The Provider further agrees to submit such other data and reports as may be requested by the Agreement Administrator. The Provider shall submit all data and reports to the Department in accordance with 34-B M.R.S.A. §1207 and in accordance with Section 6 of Rider B of this Agreement. A web site will be specifically developed by DHHS/USM to capture the reporting requirements indicated in this agreement. The web site will be available to providers prior to the end of the first reporting period.

The Provider shall submit financial and performance reports in accordance with the specifications of the Department, according to the following schedule:

| Report Name | Report Period | Due Date |
|---|-----------------------------------|------------------|
| <u>Performance Measures</u> | July 1, 2009 – September 30, 2009 | October 5, 2009 |
| <i>Document progress towards completion of each measurement stated in Rider A</i> | July 1, 2009 – December 31, 2009 | January 5, 2010 |
| <i>This report should be completed on the website https://muskiepsiweb.muskie.usm.maine.edu/csc/</i> | July 1, 2009 – March 31, 2010 | April 5, 2010 |
| | July 1, 2009 – June 30, 2010 | July 5, 2010 |
| | July 1, 2009 – September 30, 2010 | October 5, 2010 |
| <u>Program Financial Report</u> | July 1, 2009 – September 30, 2009 | October 5, 2009 |
| <i>Document the position on program income and expenses and their relationship to the Agreement.</i> | July 1, 2009 – December 31, 2009 | January 5, 2010 |
| | July 1, 2009 – March 31, 2010 | April 5, 2010 |
| | July 1, 2009 – June 30, 2010 | July 5, 2010 |
| | July 1, 2009 – September 30, 2010 | October 5, 2010 |
| <u>Agreement Closeout Report</u> | July 1, 2009 – September 30, 2010 | November 5, 2010 |
| <i>Document the final position on program income and expenses and its corresponding relationship to the Agreement.</i> | | |

III. SERVICE SPECIFICATIONS AND PERFORMANCE GUIDELINES

A.1. Description of Services

Community Services Block Grant funds are used in support of moving people out of poverty to self-sufficiency. In your narrative:

- 1.) Provide a detailed CSBG work plan that is a summary of each of the agency's program(s)/service(s) that will be supported/impacted by CSBG funds. Include those program/service positions that are supported by CSBG funds showing the % of their time allocated to that program/service.*

Children's Oral Health Program- \$38,000

PROP will collaborate with the City of Portland's Children's Oral Health Program (COHP) to support the case management services provided by the COHP that link families to dental care, assisting school nurses and school personnel in obtaining needed dental care for school children. The COHP is able to have children with urgent treatment needs (with pain and/or abscess) referred and seen almost immediately through the Just Take One (JTO) program or by Community Dental at its Portland center. Children with non-urgent needs are also seen. CSBG funds will be used to support this work and will preserve at least one full-time staff position, and potentially part of another through alleviating the need to reallocate funds and job responsibilities.

ROMA Goal #6:

Low-income people achieve their potential by strengthening family and other supportive systems

Measure 6C: Number of households in crisis whose emergency needs are ameliorated.

Measure 6I: Number of households indicating improved family functioning since participating in the services or activities of the agency.

ROMA Goal #4:

Partnerships among supporters and providers of services to low-income people are achieved -

Measure 4D: Other outcome measure(s) specific to the partnerships created by local agencies.

Case Management - \$200,000

Three full-time case managers will serve as an internal resource for agency staff and clients needing additional support. Outreach will focus on engaging families that are receiving WIC and LIHEAP services in supplemental services of the agency and in the community. Two case managers will serve the Portland-based service center and one will concentrate on Windham and the Lakes Region.

ROMA Goal #2:

The conditions in which low-income people live are improved –

Measure 2D: Increase in access to community services and resources by low-income people.

ROMA Goals #6:

Low-income people achieve their potential by strengthening family and other supportive systems

Measure 6C: Number of households in crisis whose emergency needs are ameliorated.

Measure CH: Number of households moving from cultural isolation to involvement in their cultural community

Client Information Management Infrastructure - \$235,578

A comprehensive client information management system will be identified, developed and

implemented for use by all agency programs resulting in an unduplicated count of agency clients, demographic data, accurate counts of agency and program outputs and basic outcome results.

ROMA Goal #5:

Agencies increase their capacity to achieve results -

Measure 5D: Number of programs which have become more effective as a result of research and data (their own as well as others)

Child Mental Health Program Expansion - \$75,000

The existing agency Child Mental Health Program, operating out of Child and Family Services, will be supported in a targeted expansion of services. Funds will allow for personnel support during start-up phase of expansion with the intention that the position will become self-sustaining. 45-60 additional children will be engaged in critical mental health services.

ROMA Goal #6:

Low-income people achieve their potential by strengthening family and other supportive systems

Measure 6C: Number of households in crisis whose emergency needs are ameliorated.

Measure 6I: Number of children whose mental health needs are identified and met.

Temporary Childcare Scholarships - \$39,000

Four (4) childcare slots will be held for families who have a temporary or emergency need for childcare while looking for employment. Currently many families do not qualify for subsidized slots because they are not working. However, without childcare, they are unable to look for employment. These slots will offer childcare solutions until they are able to move into a regular slot.

ROMA Goal #1:

Low-income people become more self-sufficient.

Measure 1B: Number of participants maintaining employment for a full twelve months.

ROMA Goal #6:

Low-income people achieve their potential by strengthening family and other supportive systems

Measure 6C: Number of households in crisis whose emergency needs are ameliorated.

- 2.) *Show the projected agency's general and administrative indirect amount that is defined as administrative costs. "**Administrative costs for CSBG reporting** are defined by the Office of Community Services as "equivalent to typical indirect costs or overhead. As distinguished from program administration or management expenditures that qualify as direct costs, administrative costs refer to central executive functions that do not directly support a specific project or service. Incurred for common objectives that benefit multiple programs administered by the grantee organization, or the organization as a whole, administrative costs are not readily assignable to a particular program funding stream. Rather, administrative costs relate to the general management of the grantee organization, such as strategic direction, board development, Executive Director functions, accounting, budgeting, personnel, procurement, and legal services" (<http://www.acf.hhs.gov/programs/ocs/csb/guidance/im37.html>)."* *Administrative expenses, not used for direct services, should be less than 20% of the total grant.*

PROP's Federally Approved Indirect Rate is 13% of all allowable expenses = \$62,790 for this contract.

- 3.) Identify the dollar amount of the CSBG funds that you plan to allocate to the following Federal CSBG service categories: *Employment; Education; Income Management; Housing; Emergency Services; Nutrition; Linkages; Self Sufficiency; Health; Other [describe program]; Youth & Administrative Costs.*

| Service Category | Projected amount of CSBG funds (in \$) |
|--|--|
| Employment | |
| Education | 33,930 |
| Income Management | |
| Housing | |
| Emergency Services | |
| Nutrition | |
| Linkages | |
| Self-Sufficiency | 174,000 |
| Health | 38,000 |
| Mental Health | 65,250 |
| Infrastructure: data management & evaluation | 213,318 |
| Youth | |
| Administrative Costs | 62,790 |
| Total | 587,578 |

RIDER B
PAYMENT AND OTHER PROVISIONS
AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)

1. **AGREEMENT AMOUNT:** **\$587,578.00**

2. **INVOICES AND PAYMENT.** The Department will pay the Provider as follows:

The Department shall pay the Provider fifteen monthly payments; the total amount of the payments will not exceed the agreement amount. Payments may be adjusted on a quarterly basis, based upon the level of expenditures as reported on the quarterly financial reports as indicated in Rider A.

Payments may be delayed or reduced when:

- A. The provider has not submitted required program and fiscal reports.
- B. There is an under expenditure of budgeted funds or under delivery of services amounting to 10% or more of the total agreement for 3 consecutive months.
- C. Services have been provided to ineligible recipients.
- D. An audit finding shows that the provider holds an overpayment from a prior contract.
- E. Other circumstances where, in the judgment of the Agreement Administrator, delay or reduction of payment is appropriate.

Payments are subject to the Provider's compliance with all items set forth in this Agreement and subject to the availability of funds.

2. **INVOICES AND PAYMENT.** The Department will pay the Provider fifteen (15) monthly payments for the period ending 09/30/2010, upon receipt of an approved invoice. These payments will be based upon actual services delivered at the approved rate up to a total of \$587,578.00. The determination of the actual payment will be based on the Provider Monthly Service Encounter Summary Reports, which are due on the 10th day of each month. Payments are subject to the Provider's compliance with all items set forth in this Agreement and subject to the availability of funds.

2. **INVOICES AND PAYMENT.** The Provider will submit bills for MaineCare eligible clients directly to the Office of MaineCare Services based on the Department's approved rates. Payments are subject to the Provider's compliance with all items set forth in this Agreement and subject to the availability of funds.

3. **BENEFITS AND DEDUCTIONS.** If the Provider is an individual, the Provider understands and agrees that he/she is an independent contractor for whom no Federal or State Income Tax will be deducted by the Department, and for whom no retirement benefits, survivor benefit insurance, group life insurance, vacation and sick leave, and similar benefits available to State employees will accrue. The Provider further understands that annual information returns, as required by the Internal Revenue Code or State of Maine Income Tax Law, will be filed by the State Controller with the Internal Revenue Service and the State of Maine Bureau of Revenue Services, copies of which will be furnished to the Provider for his/her Income Tax records.

4. **INDEPENDENT CAPACITY.** In the performance of this Agreement, the parties hereto agree

that the Provider, and any agents and employees of the Provider, shall act in the capacity of an independent contractor and not as officers or employees or agents of the State.

5. **DEPARTMENT'S REPRESENTATIVE.** The Agreement Administrator shall be the Department's representative during the period of this Agreement. He/she has authority to curtail services if necessary to ensure proper execution. He/she shall certify to the Department when payments under the Agreement are due and the amounts to be paid. He/she shall make decisions on all claims of the Provider, subject to the approval of the Commissioner of the Department.

6. **AGREEMENT ADMINISTRATOR.** All progress reports, correspondence and related submissions from the Provider shall be submitted to:

Name and Title: Thomas Collins, Agreement Administrator
Address: 11 SHS 221 State Street, Augusta, Maine
Telephone: (207) 287-8619
E-mail Address: thomas.collins@maine.gov

who is designated as the Agreement Administrator on behalf of the Department for this Agreement, except where specified otherwise in this Agreement.

The following is designated as the Program Administrator for this Agreement and shall be responsible for oversight of the programmatic aspects of this Agreement.

Name and Title: Christine Merchant, Community Services Unit Supervisor
Address: 11 SHS 2 Anthony Avenue Augusta, Maine
Telephone: (207) 624-7934
E-mail Address: Christine.merchant@maine.gov

7. **CHANGES IN THE WORK.** The Department may order changes in the work, the Agreement Amount being adjusted accordingly. Any monetary adjustment or any substantive change in the work shall be in the form of an amendment, signed by both parties and approved by the State Purchases Review Committee. Said amendment must be effective prior to execution of the work.

8. **SUBCONTRACTING AND ASSIGNMENT.** The Provider shall not assign or otherwise transfer or dispose of its right, title and interest in this Agreement without the express written consent of the Department. The Provider shall not subcontract, or make a sub-grant for, all or any portion of the work to be performed under this Agreement without the express written consent of the Department. The consent of the Department to any assignment or subcontract or sub-grant shall not relieve the Provider of its responsibility for performance of the work. The Provider shall include in any subcontract or sub-grant the terms of this Agreement set forth in Sections 1 to 36.

9. **EQUAL EMPLOYMENT OPPORTUNITY.** During the performance of this Agreement, the Provider agrees as follows:

a. The Provider shall not discriminate against any employee or applicant for employment relating to this Agreement because of race, color, religious creed, sex, national origin, ancestry, age, physical or mental disability, or sexual orientation, unless related to a bona fide occupational qualification. The Provider shall take affirmative action to ensure that applicants are employed and employees are treated during employment, without regard to their race, color, religion, sex, age, national origin, physical or mental disability, or sexual orientation.

Such action shall include but not be limited to the following: employment, upgrading, demotions, or transfers; recruitment or recruitment advertising; layoffs or terminations; rates of pay or other forms of compensation; and selection for training including apprenticeship. The Provider agrees to post in conspicuous places available to employees and applicants for employment notices setting forth the provisions of this nondiscrimination clause.

b. The Provider shall, in all solicitations or advertising for employees placed by or on behalf of the Provider relating to this Agreement, state that all qualified applicants shall receive consideration for employment without regard to race, color, religious creed, sex, national origin, ancestry, age, physical or mental disability, or sexual orientation.

c. The Provider shall send to each labor union or representative of the workers with which it has a collective bargaining agreement, or other agreement or understanding, whereby it is furnished with labor for the performance of this Agreement a notice to be provided by the contracting agency, advising the said labor union or workers' representative of the Provider's commitment under this section and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

d. The Provider shall inform the contracting Department's Equal Employment Opportunity Coordinator of any discrimination complaints brought to an external regulatory body (Maine Human Rights Commission, EEOC, and Office of Civil Rights) against their agency by any individual as well as any lawsuit regarding alleged discriminatory practice.

e. The Provider shall comply with all aspects of the Americans with Disabilities Act (ADA) in employment and in the provision of service to include accessibility and reasonable accommodations for employees and clients.

f. Contractors and subcontractors with contracts in excess of \$50,000 shall also pursue in good faith affirmative action programs.

g. The Provider shall cause the foregoing provisions to be inserted in any subcontract for any work covered by this Agreement so that such provisions shall be binding upon each subcontractor, provided that the foregoing provisions shall not apply to contracts or subcontracts for standard commercial supplies or raw materials.

h. The Provider shall comply with Title VI of the Civil Rights Act of 1964, Section 504 of the Rehabilitation Act of 1973, Title IX of the Education Amendments of 1972, the Age

Discrimination Act of 1975, and other civil rights laws applicable to providers of Federal financial assistance.

10. **EMPLOYMENT AND PERSONNEL.** The Provider shall not engage any person in the employ of any State Department or Agency in a position that would constitute a violation of 5 MRSA § 18 or 17 MRSA § 3104. The Contractor shall not engage on a full-time, part-time or other basis during the period of this Agreement, any other personnel who are or have been at any time during the period of this Agreement in the employ of any State Department or Agency, except regularly retired employees, without the written consent of the State Purchases Review Committee. Further, the Provider shall not engage on this project on a full-time, part-time or other basis during the period of this Agreement any retired employee of the Department who has not been retired for at least one year, without the written consent of the State Purchases Review Committee. The Provider shall cause the foregoing provisions to be inserted in any subcontract for any work covered by this Agreement so that such provisions shall be binding upon each subcontractor, provided that the foregoing provisions shall not apply to contracts or subcontracts for standard commercial supplies or raw materials.

11. **STATE EMPLOYEES NOT TO BENEFIT.** No individual employed by the State at the time this Agreement is executed or any time thereafter shall be admitted to any share or part of this Agreement or to any benefit that might arise there from directly or indirectly that would constitute a violation of 5 MRSA § 18 or 17 MRSA § 3104. No other individual employed by the State at the time this Agreement is executed or any time thereafter shall be admitted to any share or part of this Agreement or to any benefit that might arise there from directly or indirectly due to his employment by or financial interest in the Provider or any affiliate of the Provider, without the written consent of the State Purchases Review Committee. The Provider shall cause the foregoing provisions to be inserted in any subcontract for any work covered by this Agreement so that such provisions shall be binding upon each subcontractor, provided that the foregoing provisions shall not apply to contracts or subcontracts for standard commercial supplies or raw materials.

12. **WARRANTY.** The Provider warrants that it has not employed or contracted with any company or person, other than for assistance with the normal study and preparation of a proposal, to solicit or secure this Agreement and that it has not paid, or agreed to pay, any company or person, other than a bona fide employee working solely for the Provider, any fee, commission, percentage, brokerage fee, gifts, or any other consideration, contingent upon, or resulting from the award for making this Agreement. For breach or violation of this warranty, the Department shall have the right to annul this Agreement without liability or, in its discretion to otherwise recover the full amount of such fee, commission, percentage, brokerage fee, gift, or contingent fee.

13. **RECORD RETENTION AND INSPECTION.** The Provider shall retain during the term of this Agreement and for such subsequent period as specified under Maine Uniform Accounting and Auditing Practices for Community Agencies ("MAAP") rules all records, in whatever form, that directly pertain to, and involve the work to be performed under this Agreement. The Provider shall permit the Department or any authorized representative of the State of Maine, and the United State Controller General or his representative or the appropriate inspector general appointed under Section 3 or 8G of the Inspector General Act of 1998 or his representative (a) to examine such records; and (b) to interview any officer or employee of the Provider or any of its subcontractors or sub-grantees regarding the work performed under this

Agreement. The Provider shall furnish copies of such records upon request. The Provider shall include in any subcontract or sub-grant the provisions of this Section 13.

14. **TERMINATION**. The performance of work under the Agreement may be terminated by the Department in whole, or in part, whenever for any reason the Agreement Administrator shall determine that such termination is in the best interest of the Department. Any such termination shall be effected by delivery to the Provider of a Notice of Termination specifying the extent to which performance of the work under the Agreement is terminated and the date on which such termination becomes effective. The Agreement shall be equitably adjusted to compensate for such termination, and modified accordingly.

15. **GOVERNMENTAL REQUIREMENTS**. The Provider warrants and represents that it will comply with all governmental ordinances, laws and regulations.

16. **GOVERNING LAW**. This Agreement shall be governed in all respects by the laws, statutes, and regulations of the United States of America and of the State of Maine. Any legal proceeding against the State regarding this Agreement shall be brought in State of Maine administrative or judicial forums. The Provider consents to personal jurisdiction in the State of Maine.

17. **STATE HELD HARMLESS**. The Provider agrees to indemnify, defend and save harmless the State, its officers, agents and employees from any and all claims, costs, expenses, injuries, liabilities, losses and damages of every kind and description (hereinafter in this paragraph referred to as "claims") resulting from or arising out of the performance of this Agreement by the Provider, its employees, agents, or subcontractors. Claims to which this indemnification applies include, but without limitation, the following: (i) claims suffered or incurred by any contractor, subcontractor, materialman, laborer and any other person, firm, corporation or other legal entity (hereinafter in this paragraph referred to as "person") providing work, services, materials, equipment or supplies in connection with the performance of this Agreement; (ii) claims arising out of a violation or infringement of any proprietary right, copyright, trademark, right of privacy or other right arising out of publication, translation, development, reproduction, delivery, use, or disposition of any data, information or other matter furnished or used in connection with this Agreement; (iii) Claims arising out of a libelous or other unlawful matter used or developed in connection with this Agreement; (iv) claims suffered or incurred by any person who may be otherwise injured or damaged in the performance of this Agreement; and (v) all legal costs and other expenses of defense against any asserted claims to which this indemnification applies. This indemnification does not extend to a claim that results solely and directly from (i) the Department's negligence or unlawful act, or (ii) action by the Provider taken in reasonable reliance upon an instruction or direction given by an authorized person acting on behalf of the Department in accordance with this Agreement.

18. **NOTICE OF CLAIMS**. The Provider shall give the Contract Administrator immediate notice in writing of any legal action or suit filed related in any way to the Agreement or which may affect the performance of duties under the Agreement, and prompt notice of any claim made against the Provider by any subcontractor which may result in litigation related in any way to the Agreement or which may affect the performance of duties under the Agreement.

19. **APPROVAL.** This Agreement must have the approval of the State Controller and the State Purchases Review Committee before it can be considered a valid, enforceable document.

20. **LIABILITY INSURANCE.** The Provider shall keep in force a liability policy issued by a company fully licensed or designated as an eligible surplus line insurer to do business in this State by the Maine Department of Professional & Financial Regulation, Bureau of Insurance, which policy includes the activity to be covered by this Agreement with adequate liability coverage to protect itself and the Department from suits. Providers insured through a "risk retention group" insurer prior to July 1, 1991 may continue under that arrangement. Prior to or upon execution of this Agreement, the Provider shall furnish the Department with written or photocopied verification of the existence of such liability insurance policy.

21. **NON-APPROPRIATION.** Notwithstanding any other provision of this Agreement, if the State does not receive sufficient funds to fund this Agreement and other obligations of the State, if funds are de-appropriated, or if the State does not receive legal authority to expend funds from the Maine State Legislature or Maine courts, then the State is not obligated to make payment under this Agreement.

22. **SEVERABILITY.** The invalidity or unenforceability of any particular provision or part thereof of this Agreement shall not affect the remainder of said provision or any other provisions, and this Agreement shall be construed in all respects as if such invalid or unenforceable provision or part thereof had been omitted.

23. **INTEGRATION.** All terms of this Agreement are to be interpreted in such a way as to be consistent at all times with the terms of Rider B (except for expressed exceptions to Rider B included in Rider C), followed in precedence by Rider A, and any remaining Riders in alphabetical order.

24. **FORCE MAJEURE.** The Department may, at its discretion, excuse the performance of an obligation by a party under this Agreement in the event that performance of that obligation by that party is prevented by an act of God, act of war, riot, fire, explosion, flood or other catastrophe, sabotage, severe shortage of fuel, power or raw materials, change in law, court order, national defense requirement, or strike or labor dispute, provided that any such event and the delay caused thereby is beyond the control of, and could not reasonably be avoided by, that party. The Department may, at its discretion, extend the time period for performance of the obligation excused under this section by the period of the excused delay together with a reasonable period to reinstate compliance with the terms of this Agreement.

25. **SET-OFF RIGHTS.** The State shall have all of its common law, equitable and statutory rights of set-off. These rights shall include, but not be limited to, the State's option to withhold for the purposes of set-off any monies due to the Provider under this Agreement up to any amounts due and owing to the State with regard to this Agreement, any other Agreement, any other Agreement with any State department or agency, including any Agreement for a term commencing prior to the term of this Agreement, plus any amounts due and owing to the State for any other reason including, without limitation, tax delinquencies, fee delinquencies or monetary penalties relative thereto. The State shall exercise its set-off rights in accordance with normal State practices including, in cases of set-off pursuant to an audit, the finalization of such audit by the State agency, its representatives, or the State Controller.

26. **WHISTLEBLOWER PROTECTIONS.**

a. Section 1553 of Title XV of Division A of the ARRA prohibits all non-federal providers of American Recovery and Reinvestment Act (ARRA) funds, including the State of Maine, and all contractors and grantees of the State of Maine, from discharging, demoting or otherwise discriminating against an employee for disclosures by the employee that the employee reasonably believes are evidence of (1) gross mismanagement of a contract or grant relating to ARRA funds; (2) a gross waste of ARRA funds; (3) a substantial and specific danger to public health or safety related to the implementation or use of ARRA funds; (4) an abuse of authority related to implementation or use of ARRA funds; or (5) a violation of law, rule, or regulation related to an agency contract (including the competition for or negotiation of a contract) or grant, awarded or issued relating to ARRA funds. The Provider must post notice of the rights and remedies available to employees under Section 1553 of Title XV of Division A of the ARRA.

b. This term must be included in all subcontracts or sub-grants involving the use of funds made available under the ARRA.

The State of Maine is committed to ensuring that American Recovery and Reinvestment Act funds are used for authorized purposes without fraud, waste, error, or abuse. Any individual with direct knowledge that Recovery Funds are being misused, whether by fraud, waste, error, and/or abuse in the application and utilization of these funds, should report their observations to the ARRA Fraud Hotline at 1-866-224-3033 or by email to ARRA.Hotline@Maine.gov.

27. **WAGE REQUIREMENTS**. All laborers and mechanics employed by contractors and subcontractors on projects funded in whole or in part with funds available under the ARRA shall be paid wages at rates not less than those prevailing on projects of a character similar in the locality, as determined by the United States Secretary of Labor in accordance with subchapter IV of chapter 31 of title 40 of the United States Code. (See ARRA Sec. 1606). The Secretary of Labor's determination regarding the prevailing wages applicable in Maine is available at <http://www.gpo.gov/davisbacon/me.html>.

28. **REPORTING REQUIREMENT**. Not later than ten calendar days after the end of each calendar quarter, the State must submit a report that, at a minimum, contains the information specified in Section 1512 of Division A, Title XV of the ARRA. It is imperative all contracts involving the use of ARRA funds include requirements that the Provider supply the State with the necessary information to submit these reports to the federal government in a timely manner. More detail will follow regarding the timing and submission of reports. The Provider's failure to provide complete, accurate and timely reports shall constitute an "Event of Default". Upon the occurrence of an Event of Default, the state department or agency may terminate this contract upon 30 days prior written notice if the default remains uncured within five calendar days following the last day of the calendar quarter, in addition to any other remedy available to the state department or agency in law or equity.

29. **AVAILABILITY OF FUNDING**. The Provider acknowledges that the programs supported with temporary federal funds made available by the American Recovery and Reinvestment Act of 2009, Pub. L. 111-5 will not be continued with state financed appropriations once the temporary federal funds are expended.

30. **FALSE CLAIMS ACT**. The Provider shall promptly refer to an appropriate federal inspector general any credible evidence that a principal, employee, agent, contractor, sub-grantee, subcontractor or other person has committed a false claim under the False Claims Act

or has committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct involving those funds.

31. **CONFLICTING REQUIREMENTS**. If the ARRA requirements conflict with State of Maine requirements, then ARRA requirements control.

32. **COMPETITIVE FIXED PRICE CONTRACTS**. The Provider, to the maximum extent possible, shall award any subcontracts funded, in whole or in part, with Recovery Act funds as fixed-price contracts through the use of competitive procedures.

33. **SEGREGATION OF FUNDS**. The Provider shall segregate obligations and expenditures of Recover Act funds from other funding. No part of funds made available under the American Recovery and Reinvestment Act of 2009, Pub. L. 111-5 may be commingled with any other funds or used for a purpose other than that of making payments for costs allowable under the ARRA.

34. **JOB POSTING**. The Provider will post any jobs that it creates or seeks to fill as a result of this agreement. Providers will post to Maine Career Centers (<http://www.mainecareercenter.com>) notwithstanding any other posting they might make. Any advertisements posted by the provider for positions pursuant to this contract must indicate the position is funded with ARRA funds.

35. **BUY AMERICAN REQUIREMENT**. The provider acknowledges and agrees that:

a. The Buy American provision in Section 1605 of Division A, Title XVI of the ARRA requires that all "iron, steel and manufactured goods used in the construction, alteration, maintenance or repair of a "public building or public work funded in whole or in part by funds made available under the ARRA be "produced in the United States," unless this requirement is waived by the appropriate federal agency.

b. Iron and steel are "produced in the United States" if all of the manufacturing processes, except metallurgic processes involving refinement of steel additives, take place in the United States. Iron or steel used as components or subcomponents of manufactured goods used in an ARRA-funded project; however, do not have to be "produced in the United States." Manufactured goods are "produced in the United States" if the manufacturing occurs in the United States (there is no requirement about the origin of the components or subcomponents of the manufactured goods).

c. The Buy American requirement may be waived by federal agencies in the following circumstances only: (1) application of the Buy American requirement would be inconsistent with the public interest; (2) iron, steel and the relevant manufactured goods are not produced in the United States in sufficient and reasonably available quantities and of a satisfactory quality; (3) or inclusion of iron, steel or manufactured goods produced in the United States will increase the cost of the overall project by more than 25 percent.

d. As used in this Section, "steel" means any alloy that includes at least 50 percent iron, between .02 and 2 percent carbons, and may include other elements. "Manufactured good" means a good brought to the construction site for incorporation into the building or work that has been – (1) processed into a specific form and shape; or (2) combined with other raw material that has different properties than the properties of individual raw materials. "Public

building or public work" means a public building of, and a public work of, the United States; the District of Columbia; commonwealths, territories, and minor outlying islands of the United States; State and local governments; and multi-State regional or interstate entities which have governmental functions).

36. **RECOVERY ACT LOGO** The Provider is receiving funding under the American Recovery and Reinvestment Act of 2009 (Recovery Act). Any product or service resulting from this award shall display the Recovery Act Logo in a manner that informs the public that the project is a Recovery Act investment. The ARRA logo may be obtained at the following website:

<http://www.recovery.gov/?q=content/president-and-vice-president-unveil-new-recovery-emblem-download-available>

{ANY ADDITIONAL DEPARTMENT LOGO SPECIFICATIONS MAY BE PLACED IN THIS SECTION }

37. **ENTIRE AGREEMENT.** This document contains the entire Agreement of the parties, and neither party shall be bound by any statement or representation not contained herein. No waiver shall be deemed to have been made by any of the parties unless expressed in writing and signed by the waiving party. The parties expressly agree that they shall not assert in any action relating to the Agreement that any implied waiver occurred between the parties which is not expressed in writing. The failure of any party to insist in any one or more instances upon strict performance of any of the terms or provisions of the Agreement, or to exercise an option or election under the Agreement, shall not be construed as a waiver or relinquishment for the future of such terms, provisions, option or election, but the same shall continue in full force and effect, and no waiver by any party of any one or more of its rights or remedies under the Agreement shall be deemed to be a waiver of any prior or subsequent rights or remedy under the Agreement or at law.

RIDER C
EXCEPTIONS TO RIDER B

No exceptions to Rider B are included in this contract.

Rider D

Additional Requirements

1. AUDIT. Funds provided under this Agreement to community agencies for social services are subject to the audit requirements contained in the Maine Uniform Accounting and Auditing Practices for Community Agencies (MAAP-III), Federal OMB Circular A-110, and may further be subject to audit by authorized representatives of the Federal Government, according to the Agreement Settlement Form (pro forma) contained in Rider F, if applicable. This provision does not apply to contracts that provide only MaineCare seed funds.

Please see <http://www.maine.gov/sos/cec/rules/10/chaps10.htm> for details on this requirement.

2. REPORTING SUSPECTED ABUSE OR NEGLECT. The Provider shall comply with the DHHS rules for reporting abuse or neglect of children or adults pursuant to 22 MRSA §§ 3477 and 4011-A. In addition, the Provider agrees to follow the DHHS rules on reportable events pursuant to 14-197 CMR ch. 12.

3. CONFIDENTIALITY. The provider shall comply with Federal and State statutes and regulations for the protection of information of a confidential nature regarding all persons served under the terms of this Agreement. In addition, the provider shall comply with Title II, Subtitle F, Section 261-264 of the Health Insurance Portability and Accountability Act of 1996 (HIPAA), Public Law 104-191, titled "Administrative Simplification" and the rules and regulations promulgated thereunder.

To the extent the Provider is considered a Business Associate under HIPAA, the Provider shall execute and deliver in form acceptable to the Department a Business Associate agreement (BA agreement). The terms of the BA agreement shall be incorporated into this Agreement by reference. The Department shall have recourse to such remedies as are provided for in this Agreement for breach of contract, in the event the Provider either fails to execute and deliver such BA agreement to the Department or fails to adhere to the terms of the BA Agreement.

4. LOBBYING. No Federal or State appropriated funds shall be expended by the Provider for influencing or attempting to influence, as prohibited by state or federal law, an officer or employee of any Federal or State agency, a member of Congress or a State Legislature, or an officer or employee of Congress or a State Legislature in connection with any of the following covered actions: the awarding of any agreement; the making of any grant; the entering into of any cooperative agreement; or the extension, continuation, renewal, amendment, or modification of any agreement, grant, or cooperative agreement. The signing of this Agreement fulfills the requirement that providers receiving over \$100,000 in Federal or State funds file with the Department with respect to this provision.

If any other funds have been or will be paid to any person in connection with any of the covered actions specified in this provision, the Provider shall complete and submit a "Disclosure of Lobbying Activities" form available at:

<http://www.whitehouse.gov/omb/grants/#forms>.

5. DRUG-FREE WORKPLACE. By signing this agreement, the Provider certifies that it shall provide a drug-free workplace by: publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition; establishing a drug-free awareness program to inform employees about the dangers of drug abuse in the workplace, the grantee's policy of maintaining a drug-free workplace, available drug counseling and rehabilitation programs, employee assistance programs, and the penalties that may be imposed upon employees for drug abuse violations occurring in the workplace; providing a copy of the drug-free workplace statement to each employee to be engaged in the performance of this agreement; notifying the employees that as a condition of employment under the agreement the employee will abide by the terms of the statement and notify the employer of any criminal drug conviction for a violation occurring in the workplace no later than five days after such conviction.

The provider shall notify the state agency within ten days after receiving notice of criminal drug convictions occurring in the workplace from an employee, or otherwise receiving actual notice of such conviction, and will take one of the following actions within 30 days of receiving such notice with respect to any employee who is so convicted: take appropriate personnel action against the employee, up to and including termination, or requiring the employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency.

6. DEBARMENT AND SUSPENSION. By signing this agreement, the Provider certifies to the best of its knowledge and belief that it and all persons associated with the agreement, including persons or corporations who have critical influence on or control over the agreement, are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation by any federal department or agency.

The Provider further agrees that the Debarment and Suspension Provision shall be included, without modification, in all sub-agreements.

7. ENVIRONMENT TOBACCO SMOKE. By signing this agreement, the Provider certifies that it shall comply with the Pro-Children Act of 1994, P.L. 103-227, Part C, which requires that smoking not be permitted in any portion of any indoor facility owned, leased, or contracted for by an entity and used routinely or regularly for the provision of health, day care, education, or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments by Federal grant, contract, loan, or loan guarantee. The law does not apply to children's services provided in private residences, facilities funded solely by Medicare or MaineCare funds, and portions of facilities used for inpatient drug or alcohol treatment.

Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 per day and/or the imposition of an administrative compliance order on the responsible entity.

Also, the provider of foster care services agrees that it will comply with Resolve 2003, c. 134, which prohibits smoking in the homes and vehicles operated by foster parents.

8. MEDICARE AND MAINECARE ANTI-KICKBACK. By signing this agreement, the Provider agrees that it shall comply with the dictates of 42 U.S.C. 1320a-7b (b), which prohibits the solicitation or receipt of any direct or indirect remuneration in return for referring or arranging for the referral of an individual to a provider of goods or services that may be paid for with Medicare, MaineCare, or state health program funds.

9. PUBLICATIONS. When issuing reports, brochures, or other documents describing programs funded in whole or in part with funds provided through this agreement, the Provider agrees to clearly acknowledge the participation of the Department of Health and Human Services in the program. In addition, when issuing press releases and requests for proposals, the Provider shall clearly state the percentage of the total cost of the project or program to be financed with agreement funds and the dollar amount of agreement funds for the project or program.

10. MOTOR VEHICLE CHECK. The Provider shall complete a check with the Bureau of Motor Vehicles on all of Provider's staff and volunteers who transport clients or who may transport clients. This check must be completed before the Provider allows the staff person or volunteer to transport clients, and at least every two years thereafter. If the record of a staff member or volunteer contains an arrest or conviction for Operating under the Influence or any other violations which, in the judgment of the Provider, indicate an unsafe driving history within the previous three (3) years, the Provider shall not permit the staff member or volunteer to transport clients. The Provider shall implement appropriate procedures to ensure compliance with the requirements of this section.

11. OWNERSHIP. All notebooks, plans, working papers, or other work produced in the performance of this Agreement that are related to specific deliverables under this Agreement, are the property of the Department and upon request shall be turned over to the Department.

12. SOFTWARE OWNERSHIP. Upon request, the State and all appropriate federal agencies shall receive a royalty-free, nonexclusive, and irrevocable license to reproduce, publish, or otherwise use, and to authorize others to do so, all application software produced in the performance of this Agreement, including, but not limited to, all source, object, and executable code, data files, and job control language, or other system instructions. This requirement applies only to software that is a specific deliverable under this Agreement, or is integral to the program or service funded under this Agreement, and is primarily financed with funding provided under this Agreement.

13. EXCEPTIONS TO OMB CIRCULARS FOR NON-FEDERALLY-FUNDED ACTIVITIES.

(a) Travel. The reimbursement rate for mileage charged to DHHS funded programs cannot exceed the reimbursement rate allowed for state employees. (5 M.R.S.A. §1541(13)(A).

(b) Any other exceptions to OMB Circular A-122 are allowable only with prior written approval from the Department and must be offset against identified unrestricted non-Federal revenue.

14. MAINECARE REGULATIONS. Providers who receive MaineCare funds will assure that their programmatic and financial management policies and procedures are in accordance with applicable MaineCare regulations and that their staff members are familiar with the requirements of the applicable MaineCare service they are providing. Providers will ensure that they are in compliance with the applicable MaineCare regulation prior to billing for the service.

15. REVENUE MAXIMIZATION. The Provider shall conduct its services in such a way as to maximize revenues from MaineCare and other third-party sources such as private insurance as may be available to reduce the need for funds from the Department. Contract funds may not be used to pay for services that are reimbursable by other third party sources, such as private health insurance and MaineCare, under any circumstances. It is the Provider's obligation to seek and obtain reimbursement from other third party sources for any reimbursable services provided to covered individuals.

16. BACKGROUND CHECKS. The Provider agrees to conduct background checks on all prospective employees, persons contracted or hired, consultants, volunteers, students, and other persons who may provide services under this contract. Background checks on persons professionally licensed by the State of Maine will include a confirmation that the licensee is in good standing with the appropriate licensing board or entity. The Provider shall not hire or retain in any capacity any person who may directly provide services to a client under this contract if that person has a record of:

- (a) any criminal conviction that involves client abuse, neglect or exploitation;
- (b) any criminal conviction in connection to intentional or knowing conduct that caused, threatened, solicited or created the substantial risk of bodily injury to another person;
- (c) any criminal conviction resulting from a sexual act, contact, touching or solicitation in connection to any victim; or
- (d) any other criminal conviction, classified as Class A, B or C or the equivalent of any of these, or any reckless conduct that caused, threatened, solicited or created the substantial risk of bodily injury to another person within the preceding two years. Employment of persons with records of such convictions more than two years ago is a matter within the Provider's discretion after consideration of the individual's criminal record in relation to the nature of the position.

The Provider shall contact child protective services units within State government to obtain any record of substantiated allegations of abuse, neglect or exploitation against an employment applicant before hiring the same. In the case of a child protective services investigation substantiating abuse, neglect or exploitation by a prospective employee of the Provider, it is the Provider's responsibility to decide what hiring action to take in response to that substantiation, while acting in accordance with licensing standards.

Providers are not required to obtain records from child protective services for employees who (a) do not provide services to children, and (b) work in settings where there is on-site supervision at all times.

17. PROVIDER RESPONSIBILITIES / SUB AGREEMENTS. The Provider is solely responsible for fulfillment of this Agreement with the Department. The Provider assumes responsibility for all services offered and products to be delivered whether or not the Provider is the manufacturer or producer of said services.

- (a) Sub-agreements.
 - i. All sub-agreements must contain the assurances enumerated in Sections 10, 11, and 12 of Rider B and Sections 4, 5, 6, 7 of Rider D;

ii. All sub-agreements must be signed and delivered to the Department's Agreement Administrator within five (5) business days following the execution date of the sub-agreement.

(b) **Relationship between Provider, Subcontractor and Department.** The Provider shall be wholly responsible for performance of the entire agreement whether or not subcontractors are used. Any sub-agreement into which the Provider enters with respect to performance under this Agreement shall not relieve the Provider in any way of responsibility for performance of its duties. Further, the Department will consider the Provider to be the sole point of contact with regard to any matters related to this Agreement, including payment of any and all charges resulting from this Agreement. The Department shall bear no liability for paying the claims of any subcontractors, whether or not those claims are valid.

(c) **Liability to Subcontractor.** The requirement of prior approval of any sub-agreement under this Agreement shall not make the Department a party to any sub-agreement or create any right, claim or interest in the subcontractor or proposed subcontractor against the Department. The Provider agrees to defend (subject to the approval of the Attorney General) and indemnify and hold harmless the Department against any claim, loss, damage, or liability against the Department based upon the requirements of Rider B, Section 18.

18. RENEWALS. This Agreement may be renewed at the discretion of the Department.

19. NO RULE OF CONSTRUCTION. The parties acknowledge that this Agreement was initially prepared by the Department solely as a convenience and that all parties hereto, and their counsel, have read and fully negotiated all the language used in the Agreement. The parties acknowledge that, because all parties and their counsel participated in negotiating and drafting this Agreement, no rule of construction shall apply to this Agreement that construes ambiguous or unclear language in favor of or against any party because such party drafted this Agreement.

20. CONFLICT OF INTEREST. The Provider covenants that it presently has no interest and shall not acquire any interest, direct or indirect, which would conflict in any manner or degree with the performance of its services hereunder. The Provider further covenants that in the performance of this Agreement, no person having any such known interests shall be employed. (See also Rider B, #11 and #12.)

RIDER E
PROGRAM REQUIREMENTS

**FY2009-2010 CSBG AMERICAN RECOVERY AND
REINVESTMENT ACT AGENCY PLAN ASSURANCES**

The agency agrees to use funds available through this grant or allotment:

1. To support activities that are designed to assist low-income families and individuals, including families and individuals receiving assistance under part A of title IV of the Social Security Act (42 U.S.C. 601 et seq.), homeless families and individuals, migrant or seasonal farm workers, and elderly low-income individuals and families to enable the families and individuals to:
 - a. Remove obstacles and solve problems that block the achievement of self-sufficiency (including self-sufficiency for families and individuals who are attempting to transition off a State program carried out under part A of title IV of the Social Security Act);
 - b. Secure and retain meaningful employment;
 - c. Attain an adequate education, with particular attention toward improving literacy skills of the low-income families in the communities involved, which may include carrying out family literacy initiatives;
 - d. Make better use of available income;
 - e. Obtain and maintain adequate housing and a suitable living environment;
 - f. Obtain emergency assistance through loans, grants, or other means to meet immediate and urgent family and individual needs; and
 - g. Achieve greater participation in the affairs of the communities involved, including the development of public and private grassroots partnerships with local law enforcement agencies, local housing authorities, private foundations, and other public and private partners to document best practices based on successful grassroots intervention in urban areas, to develop methodologies for widespread replication; and strengthen and improve relationships with local law enforcement agencies, which may include participation in activities such as neighborhood or community policing efforts.
2. Address the needs of youth in low-income communities through youth development programs that support the primary role of the family, give priority to the prevention of youth problems and crime, and promote increased community coordination and collaboration in meeting the needs of youth, and support development and expansion of innovative community-based youth development programs that have demonstrated success in preventing or reducing youth crime, such as programs for the establishment of violence-free zones that would involve youth development and intervention models (such as models involving youth mediation, youth mentoring, life skills training, job creation, and entrepreneurship programs); and after-school child care programs.
3. Make more effective use of, and to coordinate with, other programs related to the purposes

of this subtitle (including State welfare reform efforts); the term “low income” shall be defined as families and households with an income up to 200 percent of the official poverty guidelines. This eligibility adjustment reflects an increase from 125 percent of the poverty guidelines as currently provided in Section 673(2) of the CSBG Act and applies to all CSBG services furnished during fiscal years 2009 and 2010.

4. To provide, on an emergency basis, for the provision of such supplies and services, nutritious foods, and related services, as may be necessary to counteract conditions of starvation and malnutrition among low-income individuals.
5. To coordinate, and establish linkages between, governmental and other social services programs to assure the effective delivery of such services to low-income individuals and to avoid duplication of such services, and a description of how the State and the eligible entities will coordinate the provision of employment and training activities, as defined in section 101 of such Act, in the State and in communities with entities providing activities through statewide and local workforce investment systems under the Workforce Investment Act of 1998.
6. To coordinate between antipoverty programs in each community in the State, and ensure, where appropriate, that emergency energy crisis intervention programs under title XXVI (relating to low-income home energy assistance) are conducted in such community.
7. To permit and cooperate with Federal investigations undertaken in accordance with section 678D of the Community Services Block Grant Act.
8. To the maximum extent possible, coordinate programs with and form partnerships with other organizations serving low-income residents of the communities and members of the groups served by the State, including religious organizations, charitable groups, and community organizations.
9. To establish procedures under which a low-income individual, community organization, or religious organization, or representative of low-income individuals that considers its organization, or low-income individuals, to be inadequately represented on the board (or other mechanism) of the eligible entity to petition for adequate representation.
10. To submit a community action plan that includes a community-needs assessment for the community served, which may be coordinated with community-needs assessments conducted for other programs.
11. To participate in the Results Oriented Management and Accountability System, another performance measure system for which the Secretary facilitated development pursuant to section 678E(b) of the Community Services Block Grant Act.
12. To establish fiscal controls, procedures, audits and inspections, as required under Sections 678D(a)(1) and 678D(a)(2) of the Community Services Block Grant Act.
13. To comply with the prohibition against use of Community Services Block Grant funds for the purchase or improvement of land, or the purchase, construction, or permanent improvement (other than low-cost residential weatherization or other energy-related home repairs) of any

building or other facility, as described in Section 678F(a) of the Act.

14. To ensure that programs assisted by Community Services Block Grant funds shall not be carried out in a manner involving the use of program funds, the provision of services, or the employment or assignment of personnel in a manner supporting or resulting in the identification of such programs with any partisan or nonpartisan political activity or any political activity associated with a candidate, or contending faction or group, in an election for public or party office; any activity to provide voters or prospective voters with transportation to the polls or similar assistance with any such election, or any voter registration activity.

15. To ensure that no person shall, on the basis of race, color, national origin or sex be excluded from participation in, be denied the benefits of, or be subjected to discrimination under, any program or activity funded in whole or in part with Community program funds. Any prohibition against discrimination on the basis of age under the Age Discrimination Act of 1975 (42 U.S.C. 6101 et seq.) or with respect to an otherwise qualified individual with a disability as provided in Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 12131 et seq.) shall also apply to any such program or activity.

16. To consider religious organizations on the same basis as other non-governmental organizations to provide assistance under the program so long as the program is implemented in a manner consistent with the Establishment Clause of the first amendment to the Constitution; not to discriminate against an organization that provides assistance under, or applies to provide assistance under the Community Services Block Grant program on the basis that the organization has a religious character; and not to require a religious organization to alter its form of internal government except as provided under Section 678B or to remove religious art, icons, scripture or other symbols in order to provide assistance under the Community Services Block Grant program. [’679]

17. To comply with the requirements of the cost and accounting standards of the Office of Management and Budget (OMB Circular A-110 and A-122).

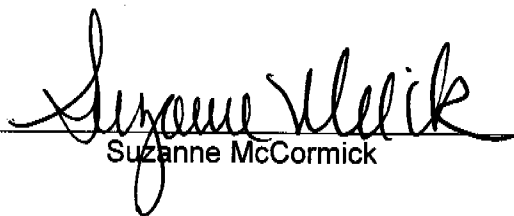
18. To comply with the requirements of Public Law 103-227, Part C Environmental Tobacco Smoke, also known as the Pro-Children Act of 1994, which requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, education, or library services to children under the age of 18 if the services are funded by a Federal grant, contract, loan, or loan guarantee.

19. To inform custodial parents in single-parent families that participate in agency programs, activities, or services, about the availability of child support services, and refer eligible parents to the child support offices of State and local governments.

CERTIFICATION STATEMENT

To the best of my knowledge, all of the information in this Agency Plan is accurate and complete. I understand that when this plan is approved by the Community Services Unit/Public Service Management/Office of Child and Family Services/DHHS, it shall serve as our agency’s CSBG American Recovery and Reinvestment Act Agency Plan for FY 2009.

Executive Director


Suzanne McCormick

Date

9/10/09

References:

<http://www.acf.hhs.gov/programs/ocs/csbg/guidance/im109.html>

<http://www.acf.hhs.gov/programs/ocs/csbg/qna.html>

| STATE OF MAINE HEALTH AND HUMAN SERVICES REVENUE SUMMARY | | AGENCY NAME: PROGRAM NAME: AGREEMENT START DATE: AGREEMENT END DATE: AGREEMENT # DHHS: | | People's Regional Opportunity Program Community Services Stimulus July 1, 2009 September 30, 2010 CFS-08-7026RA | | | |
|---|---|--|---|---|---|--|---|
| LINE | COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | COLUMN 7 |
| 1 | REVENUE SOURCES | TOTAL PROGRAMS (this agreement) | SERVICE: PROGRAM: Mental Health Expansion | SERVICE: PROGRAM: Child Care Slots | SERVICE: PROGRAM: Case Management | SERVICE: PROGRAM: Database Development | SERVICE: PROGRAM: Dental Services |
| 2 | | | | | | | |
| 3 | AGREEMENT FEDERAL REVENUE | | | | | | |
| 4 | FEDERAL DHHS AGREEMENT FUNDS | 587,578 | 75,000 | 39,000 | 200,000 | 235,578 | 38,000 |
| 5 | | | | | | | |
| 6 | AGREEMENT STATE REVENUE | | | | | | |
| 7 | STATE DHHS AGREEMENT FUNDS-FHM | | | | | | |
| 8 | STATE DHHS AGREEMENT FUNDS-GF | | | | | | |
| 9 | | | | | | | |
| 10 | UNRESTRICTED COUNTY/MUNICIPAL REVENUE | | | | | | |
| 11 | | | | | | | |
| 12 | PRIVATE CLIENT FEES | | | | | | |
| 13 | | | | | | | |
| 14 | | | | | | | |
| 15 | UNRESTRICTED REVENUE (Not for specific use by Donor or funds committed to budget by Agency) | | | | | | |
| 16 | | | | | | | |
| 17 | | | | | | | |
| 18 | TOTAL COST SHARED REVENUE | 587,578 | 75,000 | 39,000 | 200,000 | 235,578 | 38,000 |
| 19 | | | | | | | |
| 20 | | | | | | | |
| 21 | MAINECARE | | | | | | |
| 22 | IN-KIND | | | | | | |
| 23 | PROGRAM FEES | | | | | | |
| 24 | | | | | | | |
| 25 | | | | | | | |
| 26 | | | | | | | |
| 27 | | | | | | | |
| 28 | | | | | | | |
| 29 | | | | | | | |
| 30 | | | | | | | |
| 31 | | | | | | | |
| 32 | | | | | | | |
| 33 | TOTAL NON COST SHARED REVENUE | 0 | 0 | 0 | 0 | 0 | 0 |
| 34 | | | | | | | |
| 35 | TOTAL REVENUE (Lines 28 + 37) | 587,578 | 75,000 | 39,000 | 200,000 | 235,578 | 38,000 |
| 36 | | | | | | | |
| 37 | TOTAL AGENCY-WIDE REVENUE | 19,279,786 | | | | | |

* If adding rows, please make sure cells containing formulas are copied into rows added

| STATE OF MAINE HEALTH AND HUMAN SERVICES EXPENSE SUMMARY | | AGENCY NAME: People's Regional Opportunity Program | | PROGRAM NAME: Community Services Stimulus | | | |
|---|---------------------------------------|---|--|--|--------------------------------|-------------------------------------|--------------------------------|
| | | AGREEMENT START DATE: July 1, 2009 | | AGREEMENT END DATE: September 30, 2010 | | | |
| | | AGREEMENT # DHHS: CFS-09-7026RA | | | | | |
| LINE | COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | COLUMN 7 |
| 1 | | TOTAL PROGRAMS (this agreement) | SERVICE: | SERVICE: | SERVICE: | SERVICE: | SERVICE: |
| 2 | | | PROGRAM: Mental Health Expansion | PROGRAM: Child Care Slots | PROGRAM: Case Management | PROGRAM: Database Development | PROGRAM: Dental Services |
| 3 | | PERSONNEL EXPENSES | | | | | |
| 4 | | SALARIES/WAGES | 182,565 | 49,908 | 22,635 | 110,022 | |
| 5 | FRINGE BENEFITS | 55,377 | 12,364 | 8,939 | 34,074 | | |
| 6 | IN-KIND | | | | | | |
| 7 | TOTAL PERSONNEL EXPENSES | 237,942 | 62,272 | 31,574 | 144,096 | 0 | 0 |
| 8 | EQUIPMENT PURCHASES | | | | | | |
| 9 | SUB RECIPIENT AWARDS | 34,750 | | | | | 34,750 |
| 10 | ALL OTHER EXPENSES | | | | | | |
| 11 | OCCUPANCY - DEPRECIATION | 0 | | | | | |
| 12 | OCCUPANCY - INTEREST | 0 | | | | | |
| 13 | OCCUPANCY - RENT | 9,000 | 3,000 | | 6,000 | | |
| 14 | UTILITIES/HEAT | 0 | | | | | |
| 15 | TELEPHONE | 2,000 | | | 2,000 | | |
| 16 | MAINTENANCE/MINOR REPAIRS | 0 | | | | | |
| 17 | BONDING/INSURANCE | 200 | | | 200 | | |
| 18 | EQUIPMENT RENTAL/LEASE | 0 | | | | | |
| 19 | MATERIALS/SUPPLIES | 3,099 | 1,099 | | 2,000 | | |
| 20 | DEPRECIATION (Non-occupancy) | 0 | | | | | |
| 21 | FOOD | 500 | | | 500 | | |
| 22 | CLIENT-RELATED TRAVEL | 2,000 | | | 2,000 | | |
| 23 | OTHER TRAVEL | 0 | | | | | |
| 24 | CONSULTANTS - DIRECT SERVICE | 0 | | | | | |
| 25 | CONSULTANTS - OTHER | 115,578 | | | | 115,578 | |
| 26 | INDEPENDENT PUBLIC ACCOUNTANTS | 0 | | | | | |
| 27 | TECHNOLOGY SERVICES/SOFTWARE | 127,940 | | 2,940 | 5,000 | 120,000 | |
| 28 | IN-KIND | 0 | | | | | |
| 29 | MISCELLANEOUS | 15,425 | | | 15,425 | | |
| 30 | INDIRECT ALLOCATED- G&A | 39,144 | 8,629 | 4,486 | 22,779 | | 3,250 |
| 31 | TOTAL ALL OTHER (Lines 11 through 30) | 314,886 | 12,728 | 7,426 | 55,904 | 235,578 | 3,250 |
| 32 | TOTAL EXPENSES (Lines 7, 8, 9, 31) | 587,578 | 75,000 | 39,000 | 200,000 | 235,578 | 38,000 |
| 33 | TOTAL AGENCY-WIDE EXPENSES | 19,279,786 | | | | | |

| | | | | | | | |
|---|---|---|------------------|--|---------------------------------------|---|--|
| STATE OF MAINE HEALTH AND HUMAN SERVICES | | AGENCY NAME: People's Regional Opportunity Program | | PROGRAM NAME: Community Services Stimulus | | Mental Health Program Expansion | |
| PERSONNEL EXPENSES | | AGREEMENT START DATE: July 1, 2009 | | AGREEMENT END DATE: September 30, 2010 | | | |
| | | AGREEMENT # DHHS: | | CFS-09-7026RA | | | |
| LINE | COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | |
| PERSONNEL EXPENSES | | | | | | | |
| (Direct Costs Only, use form 4a for staff in Allocated Indirect Cost) | | | | | | | |
| | POSITION TITLE | CREDENTIAL (eg. MHRT II, LCSW) | AVG. HOURLY RATE | TOTAL SALARY FOR AGREEMENT PERIOD | TOTAL # ANNUAL HOURS SPENT ON PROGRAM | TOTAL PROGRAM SALARY FOR AGREEMENT PERIOD | |
| 1 | Direct Care/Clinical Staff: | | | | | | |
| 3 | | | | | | | |
| 4 | | | | | | | |
| 5 | Mental Health Provider: TBD | | 26.44 | 49,908 | 1,888 | 49,908 | |
| 6 | | | | | | | |
| 7 | | | | | | | |
| 8 | | | | | | | |
| 9 | | | | | | | |
| 10 | | | | | | | |
| 11 | | | | | | | |
| 12 | | | | | | | |
| 13 | | | | | | | |
| 14 | Total FTE | | | | | | |
| 15 | Administrative Staff (non Indirect Allocated) | | | | | | |
| 16 | | | | | | | |
| 17 | | | | | | | |
| 18 | | | | | | | |
| 19 | | | | | | | |
| 20 | Total FTE | | | | | | |
| 21 | TOTAL | | | | | | |
| COLUMNS 7 | | COLUMNS 8 | | | | | |
| TOTAL FRINGE BENEFITS | | SUMMARY | | | | | |
| 22 | TYPE OF BENEFIT (SPECIFY) | % SALARY | ITEM | | | | |
| 23 | FICA TAX | 3.694 | TOTAL SALARY | 49,908 | | | |
| 24 | UNEMPLOYMENT INSURANCE | 1.68% | TOTAL FRINGE | 12,364 | | | |
| 25 | WORKERS' COMPENSATION | 1.86% | | | | | |
| 26 | HEALTH/DENTAL | 6,769 | 62,272 | | | | |
| 27 | PENSION | 0 | | | | | |
| 28 | OTHER | 128 | | | | | |
| 29 | TOTAL FRINGE BENEFITS | 12,364 | | | | | |
| COLUMN 9 | | | | | | | |
| CONSULTANTS- DIRECT SERVICE | | | | | | | |
| 31 | Consultant Name | Credential | Hourly Rate | # Annual Hours | Total Cost | | |
| 32 | Service | | | | 0 | | |
| 33 | | | | | 0 | | |
| 34 | | | | | 0 | | |
| 35 | | | | | 0 | | |
| 36 | TOTAL | | | | 0 | | |
| | | | | Budget Form 3 | | | |

| | | | | | | | |
|---|---|---|------------------|--|---------------------------------------|---|--|
| STATE OF MAINE HEALTH AND HUMAN SERVICES | | AGENCY NAME: People's Regional Opportunity Program | | Community Services Stimulus July 1, 2009 September 30, 2010 CFS-09-7026RA | | Temporary Child Care Slots | |
| PERSONNEL EXPENSES | | AGREEMENT START DATE: | | AGREEMENT END DATE: | | AGREEMENT # DHHS: | |
| LINE | COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | |
| PERSONNEL EXPENSES | | | | | | | |
| (Direct Costs Only, use form 4a for staff in Allocated Indirect Cost) | | | | | | | |
| | POSITION TITLE | CREDENTIAL (eg. MHRT II, LCSW) | AVG. HOURLY RATE | TOTAL SALARY FOR AGREEMENT PERIOD | TOTAL # ANNUAL HOURS SPENT ON PROGRAM | TOTAL PROGRAM SALARY FOR AGREEMENT PERIOD | |
| 1 | Direct Care/Clinical Staff: | | | | | | |
| 3 | | | | | | | |
| 4 | | | | | | | |
| 5 | | | | | | | |
| 6 | Classroom Teacher: see notes below | | 12.00 | 22,635 | 1,886 | 22,635 | |
| 7 | | | | | | | |
| 8 | | | | | | | |
| 9 | | | | | | | |
| 10 | | | | | | | |
| 11 | | | | | | | |
| 12 | | | | | | | |
| 13 | | | | | | | |
| 14 | Total FTE | | | | | | |
| 15 | Administrative Staff (non Indirect Allocated) | | | | | | |
| 16 | | | | | | | |
| 17 | | | | | | | |
| 18 | | | | | | | |
| 19 | | | | | | | |
| 20 | Total FTE | | | | | | |
| 21 | TOTAL | | | | | | |
| COLUMN 7 | | COLUMN 8 | | SUMMARY | | | |
| TOTAL FRINGE BENEFITS | | ITEM | | | | | |
| 22 | TYPE OF BENEFIT (SPECIFY) | EXPENSE | | | | | |
| 23 | FICA TAX | 1,741 | 7.65% | TOTAL SALARY | 22,635 | | |
| 24 | UNEMPLOYMENT INSURANCE | 120 | 0.53% | TOTAL FRINGE | 8,939 | | |
| 25 | WORKERS' COMPENSATION | 436 | 1.93% | | | | |
| 26 | HEALTH/DENTAL | 6,185 | 27.32% | TOTAL (same as Form 2, line 7, for each Program) 31,574 | | | |
| 27 | PENSION | 457 | 2.02% | Remarks: Temporary child care slots will be used at any one of four locations with any number of class | | | |
| 28 | OTHER | | 0.00% | rooms to deliver the units where they are needed. Average classroom teacher salary is \$12.00/hour. | | | |
| 29 | TOTAL FRINGE BENEFITS | 8,939 | 39.49% | | | | |
| COLUMN 9 | | | | | | | |
| 31 | CONSULTANTS- DIRECT SERVICE | | | | | | |
| 32 | Service | Consultant Name | Credential | Hourly Rate | # Annual Hours | Total Cost | |
| 33 | | | | | | 0 | |
| 34 | | | | | | 0 | |
| 35 | | | | | | 0 | |
| 36 | TOTAL | | | | | 0 | |

| STATE OF MAINE HEALTH AND HUMAN SERVICES | | AGENCY NAME: PROGRAM NAME: AGREEMENT START DATE: AGREEMENT END DATE: AGREEMENT # DHHS: | | People's Regional Opportunity Program Community Services Stimulus July 1, 2009 September 30, 2010 CFS-09-7026RA | | Case Management Services | |
|---|---|--|------------------|---|---------------------------------------|---|---|
| LINE | COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | |
| PERSONNEL EXPENSES | | | | | | | |
| (Direct Costs Only, use form 4a for staff in Allocated Indirect Cost) | | | | | | | |
| | POSITION TITLE | CREDENTIAL (eg. MHRT II, LCSW) | AVG. HOURLY RATE | TOTAL SALARY FOR AGREEMENT PERIOD | TOTAL # ANNUAL HOURS SPENT ON PROGRAM | TOTAL PROGRAM SALARY FOR AGREEMENT PERIOD | |
| 1 | Direct Care/Clinical Staff: | | | | | | |
| 2 | Case Manager: Teyonda Hall | | 19.23 | 30,018 | 1,561 | 30,018 | |
| 3 | Case Manager: Maurice Geoffrey | | 19.24 | 40,006 | 2,080 | 40,006 | |
| 4 | Case Manager: Catriona Wilkey | | 19.23 | 39,998 | 2,080 | 39,998 | |
| 5 | | | | | | | |
| 6 | | | | | | | |
| 7 | | | | | | | |
| 8 | | | | | | | |
| 9 | | | | | | | |
| 10 | | | | | | | |
| 11 | | | | | | | |
| 12 | | | | | | | |
| 13 | | | | | | | |
| 14 | Total FTE | | | | | | |
| 15 | Administrative Staff (non Indirect Allocated) | | | | | | |
| 16 | | | | | | | |
| 17 | | | | | | | |
| 18 | | | | | | | |
| 19 | | | | | | | |
| 20 | Total FTE | | | | | | |
| 21 | TOTAL | | | | | | |
| COLUMN 7 | | | | | | | |
| TOTAL FRINGE BENEFITS | | | | | | | |
| 22 | TYPE OF BENEFIT (SPECIFY) | EXPENSE | % SALARY | ITEM | | | |
| 23 | FICA TAX | 8,242 | 7.85% | TOTAL SALARY | 110,022 | | |
| 24 | UNEMPLOYMENT INSURANCE | 1,721 | 1.56% | TOTAL FRINGE | 34,074 | | |
| 25 | WORKERS' COMPENSATION | 2,020 | 1.84% | | | | |
| 26 | HEALTH/DENTAL | 21,816 | 19.83% | | | | |
| 27 | PENSION | 0 | 0.00% | | | | |
| 28 | OTHER | 275 | 0.25% | | | | |
| 29 | TOTAL FRINGE BENEFITS | 34,074 | 30.97% | | | 144,096 | |
| COLUMN 8 SUMMARY | | | | | | | |
| COLUMN 9 | | | | | | | |
| TOTAL FRINGE BENEFITS | | | | | | | |
| 31 | CONSULTANTS- DIRECT SERVICE | | | | | | |
| 32 | Service | Consultant Name | Credential | Hourly Rate | # Annual Hours | Total Cost | |
| 33 | | | | | | | 0 |
| 34 | | | | | | | 0 |
| 35 | | | | | | | 0 |
| 36 | TOTAL | | | | | | 0 |

| | | | | | |
|----------------------------|---|-----------------------|-----------------------------------|---|---|
| STATE OF MAINE | | AGENCY NAME: | | People's Regional Opportunity Program | |
| HEALTH AND HUMAN SERVICES | | PROGRAM NAME: | | Community Services Stimulus | |
| | | AGREEMENT START DATE: | | 7/1/2009 | |
| | | AGREEMENT END DATE: | | 9/30/2010 | |
| INDIRECT PERSONNEL SUMMARY | | AGREEMENT # DHHS: | | CFS-09-7026RA | |
| LINE | COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | |
| | PERSONNEL EXPENSES | | | | |
| | (Indirect Allocated Costs Only- General and Administrative Staff) | | | | |
| | POSITION TITLE | AVE. HOURLY RATE | TOTAL SALARY FOR AGREEMENT PERIOD | TOTAL SALARY ALLOCATED TO TOTAL AGREEMENT | |
| 1 | Indirect Allocated (G&A) Staff: | | | | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |
| 5 | | | | | |
| 6 | | | | | |
| 7 | | | | | |
| 8 | | | | | |
| 9 | | | | | |
| 10 | | | | | |
| 11 | | | | | |
| 12 | | | | | |
| 13 | | | | | |
| 14 | | | | | |
| 15 | | | | | |
| 16 | | | | | |
| 17 | | | | | |
| 18 | | | | | |
| 19 | | | | | |
| 20 | | | | | |
| 21 | TOTAL | | 0 | | 0 |
| | COLUMN 5 | | | | |
| | TOTAL FRINGE BENEFITS | | | | |
| 22 | TYPE OF BENEFIT (SPECIFY) | % SALARY | EXPENSE | ITEM | |
| 23 | FICA TAX | | | TOTAL SALARY | 0 |
| 24 | UNEMPLOYMENT INSURANCE | | | TOTAL FRINGE | 0 |
| 25 | WORKERS' COMPENSATION | | | | |
| 26 | HEALTH/DENTAL | | | TOTAL | 0 |
| 27 | PENSION | | | Remarks: | |
| 28 | OTHER | | | | |
| 29 | TOTAL FRINGE BENEFITS | 0.00% | 0 | | |

| | | |
|------------------------|------------------------------|---------------------------------------|
| STATE OF MAINE | AGENCY NAME: | People's Regional Opportunity Program |
| HEALTH AND | PROGRAM NAME: | Community Services Stimulus |
| HUMAN SERVICES | AGREEMENT START DATE: | July 1, 2009 |
| | AGREEMENT END DATE: | September 30, 2010 |
| EXPENSE DETAILS | AGREEMENT # DHHS: | CFS-09-7026RA |

| COLUMN 1 | | COLUMN 2 | COLUMN 3 |
|--------------------------------|-------------------------|--|----------|
| NAME OF LINE ITEM | AMOUNT (from Form 2) | DETAIL | |
| EQUIPMENT PURCHASES | 0 | | |
| SUB-RECIPIENT AWARDS | 34,750 | Cost of dental care subcontract | \$34,570 |
| OCCUPANCY - DEPRECIATION | 0 | | |
| OCCUPANCY - INTEREST | 0 | | |
| OCCUPANCY - RENT | 9,000 | Cost of shared office space for 4 employees | |
| UTILITIES/HEAT | 0 | | |
| TELEPHONE | 2,000 | Cost of landlines & cell phones for 3 employees | |
| MAINTENANCE/MINOR REPAIRS | 0 | | |
| BONDING/INSURANCE | 200 | Cost of liability insurance for 3 employees | |
| EQUIPMENT RENTAL/LEASE | 0 | | |
| MATERIALS/SUPPLIES | 3,099 | Materials for program operations for case management, child care slots, etc. | |
| DEPRECIATION (Non-occupancy) | 0 | | |
| FOOD | 500 | Food for staff meetings, focus groups, recognition events, etc. | |
| CLIENT-RELATED TRAVEL | 2,000 | Travel to client homes & referral sources reimbursed at the state employee reimbursement rate | |
| OTHER TRAVEL | 0 | | |
| CONSULTANTS - OTHER | 115,578 | Cost of agency-wide client information software implementation, training and support | |
| INDEPENDENT PUBLIC ACCOUNTANTS | 0 | | |
| TECHNOLOGY SERVICES/SOFTWARE | 127,940 | Computer networking costs \$7,940 & cost of Agency-wide software for data collection and outcome reporting | |
| MISCELLANEOUS | 15,425 | Postage \$100, Client reimbursements \$13857, Staff Development \$1000, Unanticipated costs \$238 | |

RIDER F-1
AGREEMENT SETTLEMENT FORM (ASF)
PRO-FORMA - BUDGET

(see instructions and MAAP page 10-11)

| | |
|---------------------|---------------------------------------|
| Community Agency: | People's Regional Opportunity Program |
| Fiscal Year End: | 9/30 |
| Funding Department: | DHHS |
| Agreement # DHHS: | CFS-09-7026RA |
| Agreement Period: | 7/1/2009 - 9/30/2010 |
| Agreement Amount: | 587,578.00 |
| Program/Service: | Community Services Stimulus |

Part I -- AGREEMENT TOTALS

| | <u>REVENUE</u> | <u>EXPENSE</u> | <u>BALANCE</u> |
|--|--------------------|--------------------|----------------|
| 1.) PER AGREEMENT BUDGET | 587,578.00 | 587,578.00 | 0.00 |
| AGREEMENT ADJUSTMENTS | | | |
| 2.) Dental Subcontract | (34,750.00) | (34,750.00) | 0.00 |
| 3.) | | | 0.00 |
| 4.) | | | 0.00 |
| 5.) | | | 0.00 |
| 6.) | | | 0.00 |
| 7.) | | | 0.00 |
| 8.) | | | 0.00 |
| 9.) TOTAL ADJUSTMENTS | <u>(34,750.00)</u> | <u>(34,750.00)</u> | <u>0.00</u> |
| 10.) TOTALS AVAILABLE FOR COST SHARING | <u>552,828.00</u> | <u>552,828.00</u> | <u>0.00</u> |

Part II -- AGREEMENT COST SHARING

| | <u>% OF BUDGET</u> | <u>REVENUE</u> | <u>EXPENSE</u> | <u>BALANCE</u> |
|----------------------------------|------------------------|-------------------|-------------------|----------------|
| 11.) Agreement # (state funds) | | | | 0.00 |
| 12.) Agreement # (federal funds) | 100.00 | 552,828.00 | 552,828.00 | 0.00 |
| 13.) All Other | | | | 0.00 |
| 14.) TOTALS | <u>100.00</u> | <u>552,828.00</u> | <u>552,828.00</u> | <u>0.00</u> |

Notes to Adjustments:

RIDER F-2 AGREEMENT COMPLIANCE FORM

This section identifies compliance requirements that must be considered in audits of agreements between the Department and a Community Agency. Below is a summary of required compliance tests as well as sections within the agreement award relevant to such testing. Failure to comply with any of these areas could lead to material deficiencies.

X Review the **Federal** compliance requirements specific to the following CFDA identifiers:

CFDA # 93.710 CFDA # _____ CFDA # _____

OMB A-133 Compliance Supplement located at www.whitehouse.gov/omb/circulars/a133_compliance/08/08toc.html

and review all the State compliance requirements listed below that apply to Federal Funds.

X Review the **State** compliance requirements in applicable areas specified below:

X **1.) INTERNAL CONTROL**

X 2.) STANDARD ADMINISTRATIVE PRACTICES

A. OMB A-110/Common Rule
General
Pre-award Requirements
Financial and Program Management
Property Standards
Procurement Standards
Reports and Records
Termination and Enforcement
After the Award Requirements

B. Department Additions

Standards for Bonding Program Budget

x 3.) ACTIVITIES ALLOWED OR UNALLOWED

Rider A Section III

x 4.) ALLOWABLE COSTS/COST PRINCIPLES

X OMB A-122 OMB A-87

OMB A-21

x 5.) CASH MANAGEMENT

needs reference

6) ELIGIBILITY

7.) EQUIPMENT AND REAL PROPERTY MANAGEMENT

x 8.) MATCHING, LEVEL OF EFFORT, EARMARKING

needs reference

x 9.) PERIOD OF AVAILABILITY OF FUNDS

needs reference

x 10.) PROCUREMENT AND SUSPENSION AND DEBARMENT

needs reference

11.) PROGRAM INCOME

x 12.) REPORTING

Rider A Section III

X 13.) SUBRECIPIENT MONITORING

needs reference

14.) SPECIAL TESTS AND PROVISIONS

x **15.) AGREEMENT SETTLEMENT:**
(Check all that are applicable)

COST SHARING
FEE FOR SERVICE
UNIT COST
LINE ITEM EXPENSE
OTHER (specify):

x

RIDER G
IDENTIFICATION OF COUNTRY
IN WHICH CONTRACTED WORK WILL BE PERFORMED

Please identify the country in which the services purchased through this contract will be performed:



United States. Please identify state: Maine



Other. Please identify country: _____

Notification of Changes to the Information

The Provider agrees to notify the Division of Purchases of any changes to the information provided above.

RIDER I
MAINE STATE DEPARTMENT OF HEALTH AND HUMAN SERVICES
ASSURANCE OF COMPLIANCE

ASSURANCE OF COMPLIANCE WITH TITLES VI OF THE CIVIL RIGHTS ACT OF 1964, SECTION 504 OF THE REHABILITATION ACT OF 1973, TITLE IX OF THE EDUCATION AMENDMENTS OF 1972, THE AGE DISCRIMINATION ACT OF 1975, THE CODE OF FAIR PRACTICES AND AFFIRMATIVE ACTION AND STATE OF MAINE EXECUTIVE ORDER 17/FY 04/05.

The Provider/Contractor provides this assurance in consideration of and for the purpose of obtaining Federal/State grants, loans, contracts, property, discounts or other Federal/State financial assistance from the U.S./State Departments of Health and Human Services.

By signing this contract, Rider I Assurance of Compliance is by agreement fully incorporated into the contract.

THE PROVIDER/CONTRACTOR HEREBY AGREES THAT IT WILL COMPLY WITH:

1. Titles VI of the Civil Rights Act of 1964 (Pub. L. 88-352), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Service (45 C.F.R. Part 80), to the end that, in accordance with Title VI of that Act and the Regulation, no person in the United States, shall on the grounds of race, color or national origin be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity for which the Provider/Contractor receives Federal/State financial assistance from the Department. Specifically, providers of client services shall develop clear, written communication plans, provide and document training in order to ensure that staff can communicate meaningfully with applicants/clients and/or family members who are limited English proficient (LEP); determine the primary language of applicants/clients and/or family members, and ensure that bi-lingual workers or qualified interpreters will be provided at no cost to the applicant/client.

2. Section 504 of the Rehabilitation Act of 1973 (Pub. L. 93-112), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 84), to the end that, in accordance with Section 504 of that Act and the Regulation, no otherwise qualified handicapped individual in the United States shall, solely by reason of his handicap be excluded from participation in, be denied the benefits of, or subjected to discrimination under any program or activity for which the Provider/Contractor receives Federal/State financial assistance from the Department. Specifically, providers shall develop clear, written communication plans, provide and document training in order to ensure that staff can communicate meaningfully with applicants/clients and/or family members who are deaf, hard or hearing, late deafened, speech impaired and/or nonverbal. The Provider will provide visible or tactile alarms for safety and privacy, telecommunications device for the deaf (TTY), amplified phone or fax machine, and train staff in the use of adaptive equipment. The Provider shall obtain the services of a qualified, licensed sign language interpreter or other adaptive service such as CART or C-Print at no expense to the applicant/client or family member.

3. Title IX of the Educational Amendments of 1972 (Pub. L. 92-318), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 86), to the end that, in accordance with Title IX and the Regulation, no person in the United States shall, on the basis of sex, be excluded from participation in, be denied the benefits of /or be otherwise subjected to discrimination under any education program or activity for which the Provider/Contractor receives Federal/State financial assistance from the Department.

4. The Age Discrimination Act of 1975 (Pub. L. 94-135), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 91), to the end that, in accordance with the Act and the Regulation, no person in the United States shall, on the basis of age, be denied the benefits of, be excluded from participation in or be subjected to discrimination under any program or activity for which the Provider/Contractor receives Federal/State financial assistance from the Department.

5. The Code of Fair Practices and Affirmative Action, 5 M.R.S.A. § 781 *et. seq.*, to the end that, in accordance with the Code of Fair Practices and Affirmative Action, no state or state related agency contractor, subcontractor, or labor union or representative of the workers with which the contractor has an agreement will discriminate because of race, color, religious creed, sex, national origin, ancestry, age, physical or mental disability while providing any function or service to the public, in enforcing any regulation, or in any education, counseling, vocational guidance, apprenticeship and on the job training programs, unless based upon a bona fide occupational qualification. During the performance of this contract, the Provider/Contractor agrees as follows:

- A. That it will not discriminate against any employee or applicant for employment because of race, color, religious creed, sex, national origin, ancestry, age physical or mental disability. Such action shall include, but not be limited to the following: Employment, upgrading, demotions, transfers, recruitment or recruitment advertising; layoffs or terminations; rates of pay or other forms of compensation; and selection for training, including apprenticeship.
- B. The Provider/Contractor will, in all solicitations or advertisements for employees place by or on behalf of the Provider/Contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religious creed, sex, national origin, ancestry, age, physical or mental disability.
- C. The Provider/Contractor will send to each labor union or representative of the workers with which it has a collective or bargaining agreement, or other contract or understanding, whereby he is furnished with labor for the performances of his contract, a notice, to be provided by the contracting department or agency, advising the said labor union or workers' representative of the contractor's commitment under this section and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- D. The Provider/Contractor will cause the foregoing provisions to be inserted in all contracts for any work covered by this agreement so that such provisions will be binding upon each subcontractor.

- E. Provider/Contractors and subcontractors with contracts in excess of \$50,000 will also pursue in good faith affirmative action programs.

6. State of Maine Executive Order 17 FY 04/05 which provides that all contractors entering into contracts for services to be provided to or on behalf of the State of Maine not discriminate against any employee or applicant for employment because of that employee's or applicant's sexual orientation. Solicitations or advertisements for employment by the contractor or subcontractor shall state that all qualified applicants will receive consideration for employment without regard to sexual orientation. Contractor will notify each labor union or workers' representative of the contractor's obligations under State of Maine Executive Order 17 FY 04/05 and post such notice in conspicuous places available to employees and applicants for employment. The contractor will cause the requirement of State of Maine Executive Order 17 FY 04/05 to be inserted in all contracts for work covered by a State contract for services such that the requirements will be binding on any and all subcontractors. The Provider further stipulates that services will be provided in a culturally sensitive and age appropriate manner.

The Provider/Contractor agrees that compliance with this assurance constitutes a condition of continued receipt of Federal/State financial assistance, and that it is binding upon the Provider/Contractor, its successors, transferees and assignees for the period during which such assistance is provided. The Provider/Contractor also agrees that the Department may withhold financial assistance to any recipient found to be in violation of the Maine Human Rights Act, 5 M.R.S.A. § 4551 *et. seq.* or the Federal Civil Rights Act, 42 U.S.C. § 1981 *et. seq.* in accordance with 5 M.R.S.A. § 783. If any real property or structure thereon is provided or improved with the aid of Federal/State financial assistance extended to the Provider/Contractor by the Department, this assurance shall obligate the Provider/Contractor, or in the case of any transfer of such property, any transferee, for the period during which the real property or structure is used for a purpose for which the Federal/State financial assistance is extended or for another purpose involving the provision of similar service or benefits. If any personal property is so provided, this assurance shall obligate the Provider/Contractor for the period during which it retains ownership or possession of the property. The Provider/Contractor further recognizes and agrees that the United States shall have the right to seek judicial enforcement of the assurance.

* Technical assistance and information relating to the requirements associated with sections 1 through 5 can be found at U.S. Health and Human Services Website: www.hhs.gov/ocr/pregrant/indexpg.html. Technical assistance and information regarding section 1 can also be found at the U.S. Equal Employment Opportunity Commission website: www.eeoc.gov. Technical assistance and information relating to the requirements associated with section 6 can be found at www.state.me.us/mhrc/laws.htm. For technical assistance and information relating to section 6 above, please refer to www.jan.wvu.edu/links/adalinks.html. Information relating to section 6 can be found at <http://janus.state.me.us/legis/statutes/search.asp>.